

MJ:mgs 11/14/03 234878  
Attorney Reference Number 6333-67325  
PATENT

EXPRESS MAIL LABEL NO. EV 339207843 US  
DATE OF DEPOSIT: November 14, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Dickey et al.  
**Application No.** Not yet assigned  
**Filed:** Herewith  
**Confirmation No.** Not yet assigned  
**For:** METHODS AND APPARATUS FOR THE  
PRODUCTION OF OPTICAL FILTERS  
**Examiner:** Not yet assigned  
**Art Unit:** Not yet assigned  
**Attorney Reference No.** 6333-67325

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. § 1.97(b)(3)**

MAIL STOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Copies of the references listed on the Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the Patent Office in a prior application, serial no. 09/773,433, filed on January 31, 2001, which is relied on in the present application for an earlier filing date under 35 U.S.C. § 120.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS.

MJ:mgs 11/14/03 234878  
Attorney Reference Number 6333-67325  
PATENT


EXPRESS MAIL LABEL NO. EV 339207843 US  
DATE OF DEPOSIT: November 14, 2003

However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By   
\_\_\_\_\_  
Michael D. Jones  
Registration No. 41,879

One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, Oregon 97204  
Telephone: (503) 226-7391  
Facsimile: (503) 228-9446

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Attorney Docket Number</b>	6333-67325
	<b>Application Number</b>	Not yet assigned
	<b>Filing Date</b>	Herewith
	<b>First Named Inventor</b>	Dickey
	<b>Art Unit</b>	Not yet assigned
	<b>Examiner Name</b>	Not yet assigned

**U.S. PATENT DOCUMENTS**

Examiner's Initials*	Cite No. (optional)	Number	Date	Name
		4,058,430	11/15/77	Suntola et al.
		4,389,973	6/28/83	Suntola et al.
		5,091,320	2/25/92	Aspnes et al.
		5,257,132	10/26/93	Ceglio et al.
		5,321,713	6/14/94	Khan et al.
		5,438,952	8/8/95	Otsuka
		5,458,084	10/17/95	Thorne et al.
		5,677,594	10/14/97	Sun et al.
		5,711,811	1/27/98	Suntola et al.
		5,712,528	1/27/98	Barrow et al.
		5,785,756	7/28/98	Lee
		5,804,919	9/8/98	Jacobsen et al.
		5,872,655	2/16/99	Seddon et al.
		6,449,403	9/10/02	Cush et al.

EXAMINER SIGNATURE:	DATE CONSIDERED:
* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Attorney Docket Number</b>	6333-67325
				<b>Application Number</b>	Not yet assigned
				<b>Filing Date</b>	Herewith
				<b>First Named Inventor</b>	Dickey
				<b>Art Unit</b>	Not yet assigned
				<b>Examiner Name</b>	Not yet assigned
<b>FOREIGN PATENT DOCUMENTS</b>					
<b>Examiner's Initials*</b>	<b>Cite No. (optional)</b>	<b>Number</b>	<b>Date</b>	<b>Country</b>	
		JP59045408	3/14/84	Japan	
		JP6196809	7/15/94	Japan	
		JP62002213	1/8/87	Japan	
<b>Examiner's Initials*</b>	<b>Cite No. (optional)</b>	<b>OTHER DOCUMENTS</b>			
		T. Suntola, "Cost-effective Processing By Atomic Layer Epitaxy," <u>Thin Solid Films</u> , 225:96-98 (1993).			
		T. Suntola, "Atomic Layer Epitaxy," <u>Thin Solid Films</u> , 216:84-89 (1992).			

<b>EXAMINER SIGNATURE:</b>	<b>DATE CONSIDERED:</b>
* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.	